

Military
Aerospace
Communications
Industrial
Medical



"Organized Chaos"
2012 ERAI Executive Conference
Mark Northrup (VP Advanced Technical Operations & Strategy)

# Counterfeit

#### **Definition**

- ➤ Made in imitation of something else with intent to deceive, mislead, or defraud.
  - Synonyms bogus, fake, false, forged, inauthentic, phony, sham, synthetic, nonfunctioning, simulated, fabricated, (Shanzai or Shan zhai pirated brands and goods, particularly electronics)
  - Antonyms authentic , genuine , bona fide, real, unfaked, certifiable, legitimate, validated, verified, legal, lawful.

China's Brand Copycats



# Risk

## **Definition**

- Possibility of loss or injury or exposure to the risk of being injured, destroyed, or lost.
  - Synonyms hazard, imminence, menace, peril, pitfall, danger, threat, trouble
  - Antonyms safeness, safety, secureness, security





# **Organized**

#### **Definition**

- > To arrange by systematic planning and united effort.
  - Synonyms arrange, array, classify, codify, dispose, draw up, lay out, marshal (*also* marshall), order, range, systematize.
  - Antonyms derange, disarrange, disarray, disorder, mess (up), muss (up), rumple, upset.

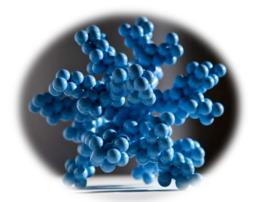




# Chaos

### **Definition**

- A state of utter confusion.
  - Synonyms chance-medley, confusion, disarrangement, disarray, dishevelment, disorder, disorganization, free-for-all, havoc, mess, muss, shambles.
  - Antonyms order, orderliness





#### Electronic Component Obsolescence & Risk Mitigation

#### 1 Scope

#### 1.1 Executive Summary

The risk of procuring counterfeit components and thus having them enter into the supply chain is ongoing and a daily occurrence. The task of verifying the authenticity of electronic components through processes related to supplier management, purchasing, laboratory analysis, and electrical testing is never ending. The goal is to create a strategy to keep ahead of this challenge.

Parts obsolescence is a driving factor in the growth of the counterfeit parts market.

Having a proactive strategy to analysis a program and a system to mitigate the risks in advance is the foundation for success in the current high reliability electronics manufacturing environment.

The IEC Health Analysis & Obsolescence Risk Mitigation program establishes a proactive process for predicting, identifying and managing the obsolescence impacts that affect a customer's product. Processes defined within the IEC Health Analysis & Obsolescence Risk Mitigation program will provide the customer with an advance notice of obsolescence, the degree of impact, recommendations for mitigation, and an assessment of how soon the problem will impact the customer's product availability and component testing for authenticity. Impacts are to be presented with potential solutions in accordance with the Health Analysis &



Department of Defense (DOD), Standards such as AS5553, IDEA-1010, MIL-STD-883 testing and other industry partners. Continuous communication will be maintained between IEC and the customer in order to take advantage of the synergy from all sources.

#### 2 Applicable Documents

The following serve as references to this plan to the extent identified herein. For undated references, the latest edition of the normative document referred to applies.

ANSI/J-STD-001	Requirements for Soldered Electrical and Electronic Assemblies.
----------------	---

IPC-A-610 Acceptability of Electronic Assemblies.

SAE AS9100 Quality Systems – Aerospace – Model For Quality Assurance In

Design, Development, Production, Installation And Servicing.

ANSI/ASQC Q9000 Quality Management and Quality Assurance Standards.

A Special Report Counterfeit Parts: Increasing Awareness and Developing Countermeasures

March 2011© 2010 Aerospace Industries Association of America, Inc. 1000 Wilson Boulevard, Suite 1700, Arlington, Virginia 22209

MIL-STD-1580 Destructive Physical Analysis for Electronic, Electromagnetic, and

Electromechanical Parts (w/Change Notice 2) Revision: B, Dated:

15 November 2010

MIL-STD -883 Test Method Standard, Microcircuits Revision: H, Dated: 26

February 2010

MIL-STD -750 Test Method Standard Test Methods for Semiconductor Devices

Revision: E, Dated: 20 November 2006

MIL-STD -202 Test Method Standard, Electronic and Electrical Component Parts

(with Notice 1 Incorporated) Revision: G, Dated: 8 February 2002

IDEA-1010 Acceptability of Electronic Components Distributed in the Open

Market

AS5553 Counterfeit Electronic Parts; Avoidance, Detection, Mitigation,

and Disposition

AS6171 Test Methods Standard; Counterfeit Electronic Parts



#### 5 Avoid the Risk if Possible

#### 5.1 Part Qualification and Customer Approval

Candidate replacement parts require the IEC & Customer Mitigation Team to obtain customer acceptance for use and subsequent inclusion into the EPL/BOM.

The level and extent of qualification and the requirements for customer approval depends upon the nature of the substitution relative to the originally qualified part. The source of components must also be considered. OEM and authorized distribution should be the first option using "gray market" or broker parts as a last resort.

The practical resolutions for a problem are greatly dependent on where the component, or supported system, is in its life cycle. However, it is possible that a single component could support several systems that are at different points in their life cycle, resulting in a much more intense analysis of alternatives and an offset of costs and benefits to any single solution.

Possible resolutions include:



#### 6.4 Three Step Component Risk Mitigation Procedure

A three step Component Risk Mitigation Procedure requires the following custom approach:

Step 1 – Define the Severity of the Final End Component Application

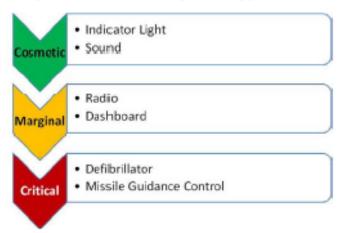
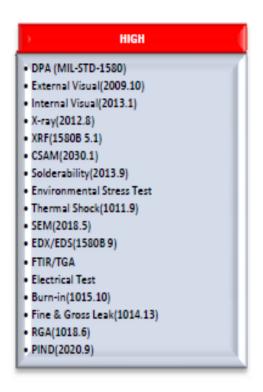


Figure 2 - Severity of Final End Component



#### Step 2 – A Component Test Procedure and Validation Plan is Defined



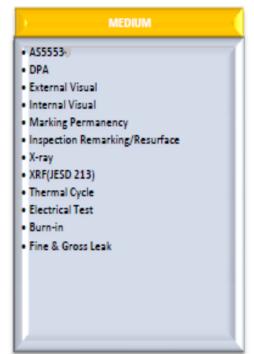




Figure 3 – Test Procedure and Validation Plan

Figure 3 – Test Procedure and Validation Plan







# IEC Electronics Corporate Overview













#### ❖ Newark, NY

- > 300,000 sq. ft Facility
- > 12 SMT Lines
- > Advanced SMT
- > Box Build/Direct Ship
- > Dedicated Prototype

#### ❖ Albuquerque, NM

- >72,000 sq. ft Facility
- >3 SMT Lines
- Mixed Technology
- Box Build
- > Obsolescence Mgmt.

#### ❖ Bell Gardens, CA

- > 50,000 sq. ft Facility
- > Design Engineering Center
- ➤ Clean Room Mfg
- > Braided/Molded Cables
- > NASA/Mil-Aero Centric

#### ❖ Victor, NY

- > 18,000 sq. ft Facility
- > Cable Assembly
- > Wire Harnessing
- > Over-molding
- Mechanical Assy.

#### ❖ Rochester, NY

- > 48,000 sq. ft Facility
- > Precision Sheet
- ➤ Metal Fabrication
- > Stamping
- Forming & finishing



010 Small Business Sub-Contractor of the Year"
"Marshall Space Flight Center"



# Dynamic Research and Testing Laboratories, LLC

Dynamic Research and Testing Laboratories, LLC is located in Albuquerque New Mexico. The Laboratory is within the Electronic Contract Manufacturer "IEC Electronics, Inc"



2011

2011



### Dynamic Research and Testing Laboratories, LLC



Mark Northrup
Laboratory Director

Clifton Aldridge Laboratory Manager





Rachel Garcia Device Analyst

Areas of Responsibility
Incoming Insp, Optical
Microscopy, Cross-Sectioning,
Decapsulation, RIE, Wire Pull,
Die Shear, Seal, PIND, Wet
Chemical Deprocessing, SEM



Andrew Buchan
Electronics Engineer
Calibration & ESD Coordinator

Areas of Responsibility CSAM, FTIR , XRF, PWB Crosssectioning, Assembly Level Failure Analysis



JR Lucero
Device Technician

Areas of Responsibility
HAST, Humidity Test,
Solderability, Electrical Test,
Temperature Cycle





Christine Glomski (Shared Resource) Internal Auditor



Karin Zimmerer (Shared Resource) Doc Control Administrator



### **DRTL** Capabilities

#### **Dynamic Research and Testing Laboratories (DRTL)**

- ➤ Component Risk Mitigation
- Destructive Physical Analysis
- Failure Analysis
- > Parts Screening
- ➤ Product Qualifications
- ➤ Material Qualifications
- Consulting Services



Our staff offers highly respected technical expertise, personable service, and quick response.

#### **DRTL SERVICES - Comparison**

DRTL Risk Mitigation Testing Strategies (IDEA-1010, AS5553, Mil-std-1580, Modified)

#### **Quality = What is ISO 17025?**

ISO/IEC 17025 is the main standard used by testing and calibration laboratories. Originally known as ISO/IEC Guide 25, ISO/IEC 17025 was initially issued by the International Organization for Standardization (ISO) in 1999. There are many commonalities with the ISO 9000 standard, but ISO/IEC 17025 adds in the concept of competence to the equation. And it applies directly to those organizations that produce testing and calibration results. Since its initial release, a second release was made in 2005 after it was agreed that it needed to have its quality system words more closely aligned with the 2000 version of ISO 9001. The contents of ISO/IEC 17025 - The ISO/IEC 17025 standard itself comprises five elements that are Scope, Normative References, Terms and Definitions, Management Requirements and Technical Requirements. The two main sections in ISO/IEC 17025 are Management Requirements and Technical Requirements. Management requirements are primarily related to the operation and effectiveness of the quality management system within the laboratory. Technical requirements includes factors which determines the correctness and reliability of the tests and calibrations performed in laboratory. Laboratories use ISO/IEC 17025 to implement a quality system aimed at improving their ability to consistently produce valid results. [2] It is also the basis for accreditation from an Accreditation Body(i.e., ILAC, A2LA, ACLASS, L-A-B, IAS, NVLAP and PJLA). Since the standard is about competence, accreditation is simply formal recognition of a demonstration of that competence. A prerequisite for a laboratory to become accredited is to have a documented quality management system. The usual contents of the quality manual follow the outline of the ISO/IEC 17025 standard.



### DRTL SERVICES - Comparison

DRTL Risk Mitigation Testing Strategies (IDEA-1010, AS5553, Mil-std-1580, Modified)

Requirements Comparison: ISO 17025 vs. AS9100 Rev C vs. ISO 9001:2008

Clause	ISO 17025	AS 9100 Rev C	ISO 9001: 2008
Legend:	Same / Similar Significant Disparity		
4	Management requirements		
4.1	Organization	0	
4.2	Management system	0	
4.3	Document control		
4.4	Review of requests, tenders and contracts		
4.5	Subcontracting of tests and calibrations		
4.6	Purchasing services and supplies		
4.7	Service to the client		
4.8	Complaints		
4.9	Control of nonconforming testing and/or calibration work		
4.10	Improvement		
4.11	Corrective action	0	0
4.12	Preventive action	0	
4.13	Control of records	0	
4.14	Internal audits	0	П
4.15	Management reviews	0	0



### DRTL SERVICES - Comparison

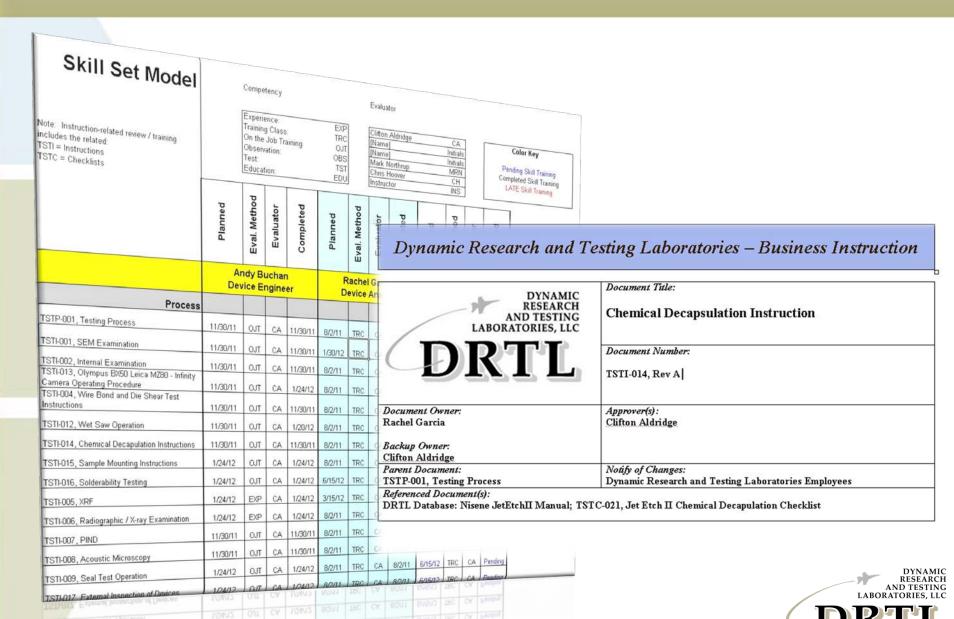
DRTL Risk Mitigation Testing Strategies (IDEA-1010, AS5553, Mil-std-1580, Modified)

Requirements Comparison: ISO 17025 vs. AS9100 Rev C vs. ISO 9001:2008

Clause	ISO 17025	AS 9100 Rev C	ISO 9001: 2008
Legend:	Same / Similar Significant Disparity		
5	Technical requirements	0 0	□ ◊
5.1	General		
5.2	Personnel	0	0
5.2.1	Personnel Certification	0	0
5.2.4	Job Description - minimum requirements	0	0
5.3	Accommodation and environmental conditions	0	0
5.4	Test and calibration methods and method validation	0	0
5.4.2	Standard Method	0	0
5.4.3	Lab-developed Method	0	0
5.4.5	Non-standard Method	0	0
5.4.6.2	Testing Laboratory - measurement uncertainty estimation	0	0
5.5	Equipment		
5.6	Measurement traceability		
5.7	Sampling		
5.8	Handling of test and calibration items		
5.9	Assuring the quality of test and calibration results Proficiency Testing Program - 3 <sup>rd</sup> party, inter- or intra- lab	0	0
5.10	Reporting the results	0	0
5.10.5	Opinions and Interpretations	0	0



#### ISO 17025 Skill Set Model



# ISO 17025 Field of Tests



#### Scope of Accreditation to ISO/IEC 17025:2005

TOTTHE	10/1946						
FIELD OF TEST	SPECIFIC TESTS OR PROPERTIES MEASURED	SPECIFICATION, STANDARD METHOD OR TECHNIQUE USED	*DETECTION LIMIT/ RANGE/ EQUIPMENT				
Non-Destructive Testing (NDT)	Elemental content by XRF (Lead, tin, etc.)	JESD213	Fischerscope XDAL				
Non-Destructive Testing (NDT)	Radiographic Examination / Inspection	MIL-STD-883, Method 2012 MIL-STD-750, Method 2076 MIL-STD-202, Method 209	X-TEK Model: Orbita				
Non-Destructive Testing (NDT)	Acoustic Microscopy (CSAM) Examination / Inspection	IPC/JEDEC, J-STD-035	Sonix Echo				
Mechanical	SEM Examination / Inspection	MIL-STD-750, Method 2077 MIL-STD-883, Method 2018	Hitachi S-4800				
Mechanical	Internal Examination / Inspection	MIL-STD-883, Method 2010 and 2013 MIL-STD-750 Method 2072	Olympus BX50				
Mechanical	Particle Impact Noise Detection (PIND)	MIL-STD-883, Method 2020 MIL-STD-750, Method 2052	Spectral Dynamics PTI Model: 4511 I				
Mechanical	Die Shear Grams of Force	MIL-STD-883, Method 2019 MIL-STD-750, Method 2017	Dage 4000				



### DRTL and IEC Electronics

#### Certifications:



MIL-STD-1580 BO/IEC 17825 ASSSSS ASS171









#### Memberships:







SAE Aerospace G19 Counterfeit Electronic Components Committee



**IDEA – 1010 ?** 



# ERAI/IHS Membership



#### **Counterfeit Part Analysis**

We would all prefer to follow the standards of the U.S. Government Industry Data Exchange Program (AS5333 – Counterfeit Electronic Parts, Avoidance, Detection, Mitigation, and Disposition) or the Independent Distributors of Electronics Association (IDEA-STD-1010-A). Unfortunately, many of us cannot use an approved vendor due to long lifecycle product demands, requiring us to perform Component Risk Mitigation Testing Methodology. Our contention is that the term "Counterfeit Parts Analysis" is better served via a Component Risk Mitigation Test Plan by using existing Destructive Physical Failure, Construction, and Electrical Analysis practices.

#### Legislative Advocacy

DRTL is focused on addressing the most pressing issues facing today's procurement of electronic components. We are strong advocates of the U.S. governments' push on legislative changes to detect and avoid counterfeit parts leaking into our supply chain, as referenced in the National Defense Authorization Act for Fiscal Year 2012 (Sec. 818 – Detection and Avoidance of Counterfeit Electronic Parts, and Sec. 2320 – Trafficking in Counterfeit Goods or Services) and the recent Government Accountability Office Report (DoD Supply Chain – Suspect Counterfeit Parts Can Be Found on Internet Purchasing Platforms).





#### What The GAO Found?

GAO

United States Government Accountability Office
Report to the Committee on Armed
Services, U.S. Senate

February 2012 DOD SUPPLY CHAIN

Suspect Counterfeit Electronic Parts Can Be Found on Internet Purchasing Platforms



SMT test results.

Yes

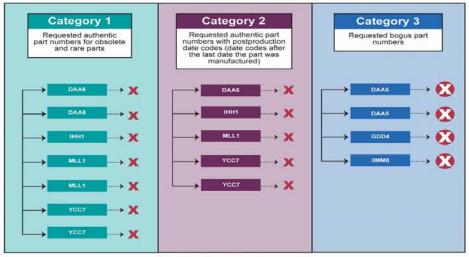
lown have been altered from the part numbers used for purchasing, analysis was not performed because the unique properties of the part table or prevent the test from being performed.

Yes

Yes

Yes

Yes



Y - Suspect counterfeit part

- Bogus part

Source: GAO analysis of SMT test results.

Note: Part numbers shown have been altered from the part numbers used for purchasing.



### DRTL Supports SAE G19 Proposed Test Flow Summary

#### TABLE --- ACTIVE DEVICES RISK MITIGATION SCREENING FLOW PRELIMINARY (microcircuits & semiconductor devices)

Steps	Mechanical/Environmental/Electrical Inspections/Tests	4 Critical Risk	3 High Risk	2 Moderate Risk	1 Low Risk	0 Very Low Risk
1	External visual Inspection, EVI <sub>3</sub> (General)	Y	Y	Y	Y	Y
2	Remarking & Resurfacing	Y	Y	Y	Y	Y
3	XRF	Y	Y	Y	Y	Y

4	External visual Inspection, EVID (Detailed)
5	Delid Physical Analysis
6	SEM/OPTICAL
7	Radiographic/X-RAY

7	Radiographic/X-RAY
8	Acoustic Microscopy (AM)
9	Miscellaneous
10	Seal (hermetic devices)
11	Temp cycling/ End point electrical
12	DC Curve Trace
13	Full DC Test, Ambient Temp
14	DC, Key(AC, Switching, Functional). Ambier
15	DC, Key(AC, Switching, functional), Ambie
16	DC,Key(AC, Switching, Functional), over 1
17	Burn-In & Final Electricals with Limits & Delta Limits

Key: Y - Yes, test performed AN-As necessary

#### Component Test Plan Defined

#### **HIGH RISK**

- DPA (MIL-STD-1580)
- External Visual
- Internal Visual
- X-ray
- XRF
- CSAM
- Solderability
- Environmental Stress Test
- Thermal Shock
- SEM
- EDX/S
- FTIR/TGA
- Electrical Test
- Rum-In
- · Fine & Gross leak
- RGA
- PIND

#### **MEDIUM RISK**

- AS5553
- DPA
- External Visual
- Internal Visual
- Marking Permanency
- X-Ray
- XRF
- Thermal Cycle
- Electrical Test
- Burn-In
- Fine & Gross Leak

#### LOW RISK

- IDEA 1010
- Photograph Parts
- Co-planarity
- Damaged Leads and Terminations
- Contamination and Oxidation
- Evidence of Poor Handling, Storage or Prior Use
- Rework or Refurbishment
- Remarked and Substandard



## XRF Section (SAE G-19 Counterfeit Detection Committee)

# 8. Risk level inspection tests

	Critical Risk	High Risk	Moderate Risk	Low
Optically Inspect/Photo document	4	3	2	Risk
Wire Pull	X	X	X	X
	X	X	X	(optional)
Die Shear (hermetic)	X	X	(optional)	(optional)
Ball Shear	X	X	(optional)	(optional)
SEM Inspection	X	(optional)	(optional)	(optional)
Perform EDX	X	(optional)	(optional)	(optional)
Unlayer/Inspect Metalization	X	(optional)	(optional)	(optional)
Glassivation Layer Integrity Testing	X	(optional)	(optional)	(optional)



# April 25th AIA CP-IPT Meeting

#### **Summary**

- Sixty People Attended
- Speakers from DOD (Jim Stein GIDEP/ LeAntha Sumpter DPAP)
- CP-IPT Assignments to submit to OSD-DPAP
  - 1. Definitions
    - Counterfeit
    - Suspect Counterfeit
    - Trusted Supplier
    - Reasonable Effort
    - Other undefined terms in Sec. 818 of the NDAA?
  - 2. Best Practices
    - AIA Whitepaper
  - 3. GIDEP Reporting
    - Critical Characteristics
  - 4. Traceability
    - Must be RISK and Application Based





# April 25th AIA CP-IPT Meeting Summary

#### **Draft Timeline**

- May 2 First Draft Due
- May 3 QAC Review
- May 4 Full CP-IPT Review
- May 15, Review Comments / Begin Consensus process
- May 21, Cut-off Date
- May 25, Submit Responses to LeAntha Sumpter DPAP





### Defense Trusted Integrated Circuits Strategy (DTICS)





### Defense Trusted Integrated Circuits Strategy (DTICS)





# Defense Trusted Integrated Circuits Strategy (DTICS)



**Trusted Foundry Program** 

As of 16 APR 2012

#### Accredited Suppliers

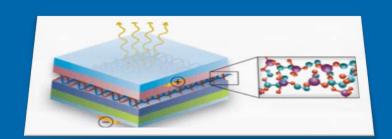
Important: Many of the following suppliers have a standard commercia "Trusted Product Flow" must be explicitly requested from the points of trusted. A trusted supply chain begins with trusted design and continue

CAGE Code Facility Location Scope of Accreditation Abraxas 5GW01 Annapolis Corporation Design Junction, MD Advotech 4GBU7 Company, Inc. Tempe, AZ Packaging/Assembly Aeroflex Colorado 6V812 Springs Colorado Broker; Design; Springs, CO Aggregation; Packaging/Assemb Test

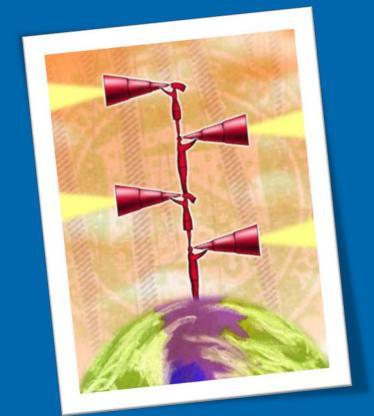


# Anti-Counterfeiting Defense via Traceability?



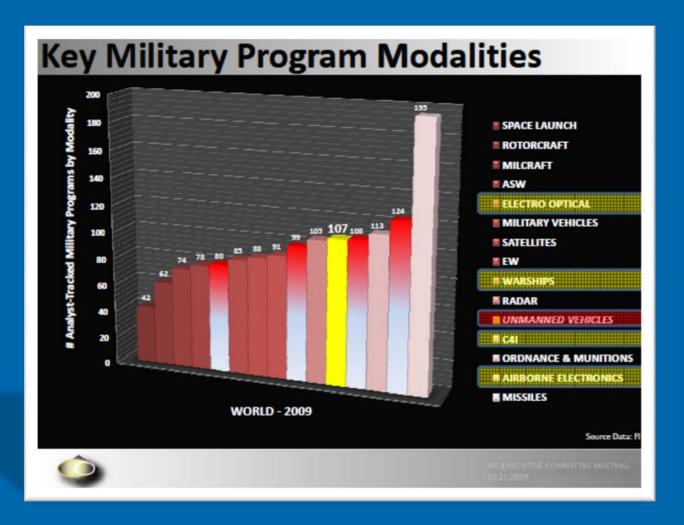








### Anti-Counterfeiting Defense via Design Out or Shut-down?





### Question & Answers?

