

preventing toxic trade & promoting a toxics-free future





GLOBAL

environmental health and justice



We are honored to be contributing to a powerful and effective organization dedicated to changing our world for the better.

Letter from the Board of Directors

In March 2009 the Basel Action Network (BAN) became an independent organization, following several years operating as a program of another non-profit, Earth Economics. We are honored to be its founding board members, contributing to a powerful and effective organization dedicated to changing our world for the better.

BAN is addressing some of the most important toxic pollution and global environmental justice issues on the planet. And BAN is winning. The biggest governments, companies, and institutions in the world are moving quickly to address BAN's critique and respond to BAN's campaigns by adopting responsible, sustainable, and socially just policies and laws.

Just as importantly, BAN's efforts are producing tangible results in education and awareness raising. School children and teachers nationwide have viewed documentaries of far less fortunate children in developing countries, who pick through heaps of discarded, burning computers from developed countries, scratching for scraps of copper. They question where their own school's computers go when recycled. Consumers around the world are also seeing BAN's frequent stories in the mass media and are becoming increasingly aware that their gadgetry, gizmos and computers will eventually be recycled and may become toxic waste with severe downstream impacts in that mysterious place called "away" where we all throw things.

Governments, companies, and institutions are consequently reforming their practices. The general public is becoming more aware and adjusting their consumption and disposal practices. It's beginning to feel like we are at a tipping point. Thanks in no small part to BAN's tireless efforts, we are creating a more just and sustainable world.

Kathy Kelly, President of the Board of Directors

William L Donnelly, Secretary

Steven Gilbert, Treasurer

LEARN MORE:
[What's DRIVING
the e-waste crisis?](#)



MISSION

The Basel Action Network advances global environmental health and justice by

- 1 preventing toxic trade, the practice of externalizing risk and harm to developing countries;
- 2 promoting a toxics-free future by advancing green design and responsible consumption; and
- 3 campaigning for global environmental justice, the right to a pollution-free environment for everyone.



POLICY REFORM

to promote life-cycle responsibility

Letter from the Executive Director

It's an understatement to say that there is a great deal happening today to keep environmental and social justice advocates very busy. The pace of environmental degradation on so many fronts is truly frightening.

The good news is that activists around the world are doing something about it. At BAN, for example, we have chosen our battle against toxic trade well. With this issue, we are able to spotlight the bigger issues of our time—systemic challenges with design, consumption, fair trade, globalization, equity, materials use, green chemistry and more.

Over twenty five years ago, I started investigating the global practice of shipping poisons from rich countries to poor countries. I discovered a truly horrific, hidden underbelly of the world's economy, a serious indictment of traditional economics. It was fascinating, yet deeply disturbing, to discover the relationship between economics, environmental degradation and human rights. My understanding of this relationship was deepened by my discovery of the electronic waste crisis, one that demonstrates this relationship in a personal, visceral way to everyone who has ever purchased an electronic gadget.

Today, we are beginning to better understand the impacts of design and consumption. We recognize the problems in our current economic arrangement that allow economic distortion to externalize costs and bring harm to the most vulnerable. With this greater understanding we can chart a course towards green, non-toxic design, more intelligent consumption, and a more just economic system. We have even helped the world's largest corporations and institutions realize that pushing the true costs of managing hazardous

waste on to developing countries is both immoral and dangerous for all of us. This course that we are charting will lead us to a toxic free, more bountiful and sustainable world.

For many years BAN has used our media skills, our legal understanding, and political influence in international arenas to successfully press producers of toxic waste and governments to fully own the costs of managing wastes responsibly. We will continue to put a spotlight on the shadowy actors that dump retired marine vessels laden with toxics on the world's poorest communities. We've helped agencies like the United Nations and Interpol identify and go after international waste dumpers. We have pushed to pass federal law in that renegade country that has failed to accept the Basel Convention rules on toxic trade—the United States, to stop it from being the leading source of this tragic trade. We will continue this effort this year and next if necessary.

And finally, in 2009, BAN launched its landmark program that is already globally influential—a third-party audited international electronics recycler certification program—the e-Stewards® Certification.

There is still so much more to do, but our energy is derived from the good things that keep happening every day. The work is inspiring, uplifting, and even fun. May our progress encourage everyone who is working so hard to improve our planet and make our global society truly just, sustainable, and fun!

My deepest thanks to many hundreds of generous supporters, industry leaders, government officials, non-profit partners, friends, the BAN staff and consultants, our board, and of course to the unceasing support of my family. Onward!

Jim Puckett

Executive Director, BAN



Today, we are winning the struggle to prevent the poisoning of the earth.

Overview

BAN campaigns for global environmental health and justice by focusing on highlighting and stopping the unjust export of toxic waste to developing countries. We currently operate three campaigns. **First**, we are reforming the design, production, use, trade, and recycling of electronic equipment to ensure life-cycle responsibility. **Second**, we are working within the US and within our global NGO Shipbreaking Platform coalition to ensure the same type of lifecycle stewardship takes place for obsolete ships in the massive global shipping industry. **Third**, we work diligently to defend and implement the Basel Convention and the Basel Ban Amendment anywhere in the world. We aim to dramatically reduce global dumping of toxic waste through market and legislative reform. We seek to ensure upstream responsibility (cost internalization), which leads to sustainable toxic-free lifecycle thinking, instead of downstream dumping (cost externalization) leading to exploitation.



MARKET-BASED

strategies to certify and reward environmental leaders

Progress Report: 2009

Our primary campaign is a multi-faceted campaign against irresponsible management of end-of-life electronics. Electronic waste is the fastest growing toxic waste stream on the planet today, and it is one for which we are all responsible. The centerpiece of our effort is the e-Stewards® Initiative, a market-based strategy to certify and thereby reward environmental leaders that abide by the Basel Convention and otherwise manage this new waste stream responsibly. In 2009, we published the e-Stewards® Standard, a 50-page document establishing the world’s most robust, comprehensive set of environmental, social, data security, and worker safety protections. Hundreds of hours of work by dozens of industry experts, occupational health and safety experts, certification industry experts and non-profit advocates went into this document. By the end of 2009, over forty of the most respected and progressive recycling and asset management companies in the United States agreed to be certified to this standard. The reform of one of the largest sources of toxic waste globally had begun.

Our second program focuses on ending the toxic, dangerous, and unjust destruction of retired ships on the infamous “breaking beaches” of Bangladesh, India, and Pakistan. As a result of BAN’s work in the non-profit coalition known as the NGO Platform on Shipbreaking, Bangladesh has recently passed landmark legislation

requiring vessels to be fully cleaned of toxic materials prior to entering their waters for scrapping. This is the implementation of the Basel Convention for ships, which we have been seeking for many, many years. Our future work will seek to ensure this is the universal policy with respect to export of ships. At the same time, we will create another international standard and certification for the safe and responsible recycling of ships.

Finally, we continue to campaign internationally for the enforcement of international law and the complete and full ratification of the Basel Convention and Basel Ban Amendment to prohibit the movement of toxic waste to developing countries from developed countries. Four more Ban Amendment ratifications were achieved in 2009, and we continue to press for these around the world.

With respect to implementation of the Basel Convention, BAN has begun to do its own enforcement by tracking containers from the US to countries around the globe and informing governments in advance of arriving shipments so they can take the appropriate action. We have also begun to work closely with United States Environmental Protection Agency enforcers. Furthermore, Interpol and various European agencies are increasing their investigations and prosecution of the illegal trade in wastes, and are coming to BAN for advice and assistance. However, there are continually moves afoot among certain governments, including the US, and certain industrial sectors to undermine the landmark Basel Ban Amendment agreement. BAN will stay very vigilant to counter such regressive activities.

LEARN MORE: [Making the CONNECTIONS through the e-Stewards web site](#)

Ash piles in Guiyu, China have been found to have lead concentrations 200 times U.S. regulatory limits.



EXPOSING

LEARN MORE:
[Exposing the e-waste crisis](#)

82% of small children tested in Guiyu, China were found to have clinical lead poisoning.



2009 Financial Statements (unaudited)

BAN Profit & Loss Statement

SUPPORT AND REVENUE	2009		Other Program Expenses	
Donations, Individual	\$ 12,010.26		Film, Video, Photo Service	6,756.50
Donations, Corp	318,462.30		Research & Investigations	7,374.00
Grant, Un-Restricted	165,923.74		Total Other Program Expenses	14,130.50
Audit Service Fees	28,180.00			
Licensing Fees, Recyclers	230,425.00		Operations	
Standard Document Purchases	5,000.00		Auto, Parking	1,020.98
Reimbursed Expense Income	1,590.42		Bad Debt Pledge	46.75
Interest Income	1,670.62		Bank Fees	351.82
Dividend Income	2.69		Bank Fees, Merchant	647.35
Rental Income, Sublet	5,400.00		Donation	2,500.00
Asset transfer	236,240.22*		Dues & Subscriptions	148.00
Total Support and Revenue	1,004,905.25		Insurance, D&O	1,090.00
			Insurance, Liability	1,201.44
Expense			License and Permits	895.52
Reimbursable Expenses	1,464.42		Meals/Entertainment	1,299.72
ANSI Licensing payments	2,329.60		Office Expense	3,654.41
			Postage and Delivery	3,101.59
Staff Salary	210,865.31		Printing and Copying	2,265.27
Taxes & Benefits	46,330.51		Rent	20,638.80
Total Staff	257,195.82		Repairs & Maintenance	835.52
			Telecommunications	6,012.17
Professional Fees			Travel	44,638.72
Prof Services, Accounting	7,161.24		Taxes, Excise	515.17
Prof Services, Legal	19,820.39		Total Operations	90,863.23
Prof Service, Website	21,716.06			
Prof Service, Certification	15,972.00		Total Operating Expense	668,325.15
Total, Professional Fees	64,669.69		Current Use Fund*	336,580.10
			Total Expense	1,004,905.25
Consulting & Research				
Consultant, Shipbreaking Research	30,975.00		Net Income	0.00
Consultant, e-Waste Auditor	34,003.13			
Consultant, Cert Program	80,860.71			
Consultant, Int'l Program	427.50			
Consultant, Business	65,900.00			
Consultant, Grantwriting	2,388.75			
Consultant, Marketing	20,702.30			
Total Consultant & Research Fees	235,257.39			
Development				
Development Expenses	111.72			
Conference Attendance Expense	2,302.78			
Total Development	2,414.50			

Notes: (*) From 1997 to 2008, BAN operated as a discrete business unit of another non-profit. Upon becoming an independent 501(C)3 in 2009, these funds, BAN's current use account, were transferred to BAN and applied toward 2010 expenses.





By the end of 2009, over forty of the most respected and progressive recycling and asset management companies in the United States agreed to be certified to the e-Stewards® standards.

BAN Balance Sheet

December 31, 2009

ASSETS

Current Assets

Checking/Savings	
Checking	25,002.44
Savings	5.19
Money Market	94.15
Checking	31,358.24
Money MKT	182,091.17
Reserve	2,694.44
Petty Cash	500.17
Paypal	1,241.64
Total Checking/Savings	242,987.44

Accounts Receivable

Accounts Receivable	25,908.51
Pledges Receivable	66,000.00

Total Accounts Receivable 91,908.51

Other Current Assets

Deferred Licensing Revenue	244,635.00
Expense Advance	3,251.24
Undeposited Funds	1,375.00

Total Other Current Assets 249,261.24

Total Current Assets 584,157.19

Other Assets

Security Deposits	1,396.77
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Total Other Assets 1,396.77

TOTAL ASSETS 585,553.96

LIABILITIES & EQUITY

Liabilities

Current Liabilities

Other Current Liabilities	
Payroll Liabilities	
FW & FICA Payable	3,920.74
SUTA Payable	208.08
L&I Payable	210.04

Total Payroll Liabilities 4,338.86

Deferred Licensing Fee Revenue	244,635.00
Total Other Current Liabilities	248,973.86

Total Current Liabilities 248,973.86

Total Liabilities 248,973.86

Equity

Net Income	336,580.10
Total Equity	336,580.10

TOTAL LIABILITIES & EQUITY 585,553.96



Charitable Gifts to BAN in 2009

BAN extends its most sincere thanks to the individuals, organizations, agencies, and companies below that have so generously supported BAN's work by making a charitable contribution. We apologize for any errors or omissions: please contact inform@ban.org with any corrections.

Abdikarim Mohamud
Aimee Ellis
Alan Hodgson
Anne Emili
anonymous
Asset Recovery Corp.
Bad Dog Investments, LLC
Barbara Peterson
Bart Barendregt
BetterHumanRace.com
Billie Russell
Bryan Higgins
Camila Troughton
Carolyn Foundation
Cascade Asset Management, LLC.
CEAR, Inc.
Celeste Checchia
Christina Jarvis
Christopher Olin
Citizens Against Chemicals Pollution
Cristen Rasmussen
Daniel Johnson
David Cain
David Drell
David Ritter
David Secord
Dayan Anderson
Deborah Fiorito
Denso Manufacturing Michigan, Inc.

ECS Refining Texas, LLC /
United Datatech Distributors
Electronic Recyclers International, Inc.
Elisabeth Crosby
Elise Martucci
Emily Busam
E-Recycling Of California
Eric Breitbart
Esco Marine Inc
E-waste Harvesters, Inc.
Felix Gonzalez
Filis Fahey
Gary Cooper
Geoffrey Goodlace
George Landis
Gracestone, Inc.
Guro Husby
Halvor Erikstein
Hesstech, LLC
Hollis Schoonover
iFixit
Jackie Jablonski
James Perry
Jean Flanigan
Jeff Peterson
Jess Cooney
Joan Meyer
John Van Gурp
Jonathan Beeton
Kathryn Jacobs
Kathy Kelly
Kenneth Grundberg
Kevin Kelly
Laura Briggs
Lori Dang
Marcel Locher
Marilyn Orozco
Martin Djos Family Foundation

Matthew Douglas
Matthew Valle
Maurice O'Connor
Michelle Graham
Neil Gershenfeld
Nils Vos
OSU Housing Marketing
Paul Pennoyer
Peter Chin
Peter Malmstrom
Robert Bookbinder
Robert Hauck
Rosemarie Durni
Rosemary Garfoot Public Library
Sheila Osborne
Sigrid Rausing Trust
Simon Fraser University
Sophie Gellot
Steve Havas
Steven Gilbert
Susan Milord
Thalheimer Brothers, Inc.
The Cultural Vision Fund
The Fuller Trident Group
Tides Foundation
Vassar College Libraries
Venkatesha Venky
Waste Management Recycle America
WeRecycle!
William Donnelly
William Heintz

The Basel Action Network (BAN) is an Internal Revenue Code 501 (c) 3 charitable organization registered in the United States with offices in Seattle, Washington.



Sukum Pallai, widow of Bhashkar Pallai, who died at the age of 32 from an accident at shipbreaking yard in Alang, India.



BAN chooses battles that spotlight the biggest issues of our time—design, consumption, fair trade, globalization, equity, materials use, green chemistry and more.

THANK YOU FOR YOUR SUPPORT, now and in the important days to come.

